Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Date

August 20, 2003

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	59516-159/PP-01602
First Inventor	Vivien Chan
Title	NOTCH RECEPTOR LIGANDS AND USES THEREOF
Express Mail Label No.	EL976386898us

Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. P.O. Box 1450 Alexandria VA 22313-1450 1. Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. X Nucleotide and/or Amino Acid Sequence See 37 CFR 1.27. Submission (if applicable, all necessary) 3. Specification **ITotal Pages** <u>54]</u> a. Computer Readable Form (CRF) b. 🔯 (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the Invention CD-ROM or CD-R (2 copies); or i. - Cross Reference to Related Applications ii. paper - Statement Regarding Fed sponsored R & D c. 🕅 Request to Use CRF in Parent Application - Reference to sequence listing, a table, **ACCOMPANYING APPLICATION PARTS** or a computer program listing appendix Assignment Papers (cover sheet & document(s)) - Background of the Invention 10. 🗍 37 CFR 3.73(b) Statement Power of - Brief Summary of the Invention (when there is an assignee) Attorney - Brief Description of the Drawings (if filed) 11, 🖂 English Translation Document (if applicable) - Detailed Description 12. Information Disclosure Copies of IDS - Claim(s) Statement (IDS)/PTO-1449 Citations - Abstract of the Disclosure 13. Preliminary Amendment Drawing(s) (35 U.S.C. 113) [Total Sheets 14. 🛛 91 Return Receipt Postcard (MPEP 503) Oath or Declaration Should be specifically itemized) [Total Sheets 2] a. Newly executed (original or copy) 15. Certified Copy of Priority Document(s) b. 🛛 Copy from a prior application (37 CFR 1.63 (d)) (if foreign priority is claimed) (for a continuation/divisional with Box 18 completed) 16. Request and Certification under 35 U.S.C. **DELETION OF INVENTOR(S)** 122(b)(2)(B)(i). Applicant must attach form Signed statement attached deleting inventor(s) named in PTO/SB/35 or its equivalent. the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 17. X Other: Check for \$1632 6. X Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation X Divisional Continuation-in-part (CIP) Claims priority from application No. Prior application information Examiner Eileen B. O'Hara Group Art Unit: 1646 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 27476 X Customer Number: Correspondence address below Name Address City State Zip Code Country Telephone Fax Name (Print/Type) Jane E. R. Potter Registration No. (Attorney/Agent) 33.332

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public whish is to file (and by the USPTO to process) an application Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NO SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

PTO/SB/17 (08-03)
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	Complete if Known					
FEE TRANSMITTAL	Application Number					
for EV 2002	Filing Date	August 20, 2003				
for FY 2003	First Named Inventor	Vivien Chan				
Effective 01/01/2003. Patent fees are subject to annual revision.	Examiner Name					
Applicant claims small entity status. See 37 CFR 1.27	Art Unit					
TOTAL AMOUNT OF PAYMENT (\$) 1632	Attorney Docket No.	59516-159/PP-01602				

METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)								
Check Credit card Money Order None					3. ADDITIONAL FEES									
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Account 04-0258							1051	130	2051		Surcharge - late filing fee or oath			
Number								1052	50	2052	25	Surcharge - late provisional filing fee		
Deposit Account David Weight Transcing								1053	130	1053	420	or cover sheet.		
Account Name Davis Wright Tremaine LLP										Non-English specification For filing a request for ex parte				
The Commissioner is authorized to: (check all that apply)						1812	2,520	1812	2,520	reexamination				
Charge fee(s) indicated below Credit any overpayments						1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
Charge any additional fee(s) during the pendency of this application						1805	1,840*	1805	1,840*					
Charge fee(s) indicated below, except for the filing fee					1251	110	2251	55	Extension for reply within first month					
K Charge any deficiencies					1252	410	2252	205	Extension for reply within second month					
to the above-identified deposit account.					1253	930	2253	465	Extension for reply within third month					
FEE CALCULATION					1254	1,450	2254	725	Extension for reply within fourth month					
BASIC FILING FEE Large Entity Small Entity					1255	1,970	2255	985	Extension for reply within fifth month					
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1005	160	2005	80		Reissue filing fee Provisional filing		-		1453	1,300	2453	650	Petition to revive - unintentional	
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Total Claims	27		- 20** =	Claims 7	x	below 18] _ [Paid 126	1806	180	1806	180	Submission of Information Disclosure Stmt	
Independ Claims	ent 12		- 3** =	9] x	84] [] ₌ [756	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
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Fee	Fee	Fee	Entity						1801	750	2801	375	examined (37 CFR § 1.129(b)) Request for Continued Examination	L
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SUBTOTAL (2) (\$) 882								-						

SUBMITTED BY					(Complete	(if applicable))
Name (Print Type)	Jane E. R. Potter		Registration No. (Attorney/Agent)	33,332	Telephone	206-628-7650
Signature		- ZPHOLK			Date	August 20, 2003

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